

04-30-01

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 42390P10937
(maximum 12 characters)

First Named Inventor Song-Hua Shi

Title: SILOXIRANE BASED NO-FLOW UNDERFILL MATERIAL

Express Mail Label No. EL627469859US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original, and a duplicate for fee processing)
2. **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. **Specification (Total Pages 18)**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. **Drawings(s) (35 USC 113) (Total Sheets 1)**
5. **Oath or Declaration (Total Pages 5)**
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. Unsigned.
6. **Application Data Sheet. (37 CFR 1.76)**
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on
 - i. CD-ROM or CD-R (2 copies), or
 - ii. paper
 - c. Statement verifying identity of above copies

J1011 U.S.P.T.O.
09/844855
04/26/01



ACCOMPANYING APPLICATION PARTS

9. **Assignment Papers (cover sheet & documents(s))**
10. a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
- b. Power of Attorney
11. English Translation Document (if applicable)
12. a. Information Disclosure Statement (IDS)/PTO-1449
- b. Copies of IDS Citations
13. **Preliminary Amendment**
14. **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17. Other: Copy of postcard with Certificate of Express Mailing pursuant to C.F.R. § 1.10

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP)
Of Prior Application No.: _____ **Examiner** _____ **Group Art Unit** _____
(which is a continuation/ divisional/ CIP of prior application no. _____,
which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.

For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that _____ (name of assignee) is the
assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment
recorded in the Patent and Trademark Office at Reel. No. _____ Frame No. _____ (or a copy of
which is attached).

19. Correspondence Address

Customer Number or Bar Code Label _____
or _____ (Insert Customer No. or Attach Bar Code Label here)
 Correspondence Address Below

NAME _____

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

Name (PRINT/TYPE): Stephen M. DeKlerk
Signature: [Handwritten Signature]

Registration No.: 46,503

Date: 4/26/01

FEE TRANSMITTAL FOR FY 2001TOTAL AMOUNT OF PAYMENT (\$) 818.00

Complete if Known:

Application No. Not Yet AssignedFiling Date HerewithFirst Named Inventor Song-Hua ShiGroup Art Unit Not Yet AssignedExaminer Name Not Yet AssignedAttorney Docket No. 42390P10937**METHOD OF PAYMENT** (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name _____

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed: Check
 Credit Card
 Money Order
 Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
101	710	201	355
106	320	206	160
107	490	207	245
108	710	208	355
114	150	214	75
		Utility application filing fee	<u>710.00</u>
		Design application filing fee	_____
		Plant filing fee	_____
		Reissue filing fee	_____
		Provisional application filing fee	_____
SUBTOTAL (1) \$ 710.00			

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims 26	- 20** = 6	X 18.00	\$ 108.00
Independent Claims 3	- 3** = 0	X _____	= _____
Multiple Dependent		_____	= _____

**Or number previously paid, if greater; For Reissues, see below.

Large Entity	Small Entity	Fee Description
Fee	Fee	Fee
Code	(\$)	Code
103	18	203
102	80	202
104	270	204
109	80	209
110	18	210
		Claims in excess of 20
		Independent claims in excess of 3
		Multiple dependent claim, if not paid
		**Reissue independent claims over original patent
		**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 108.00

FEE CALCULATION (continued)
3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	Surcharge - late filing fee or oath
127	50	227	Surcharge - late provisional filing fee or cover sheet
139	130	139	Non-English specification
147	2,520	147	For filing a request for ex parte reexamination
112	920*	112	Requesting publication of SIR prior to Examiner action
113	1,840*	113	Requesting publication of SIR after Examiner action
115	110	215	Extension for reply within first month
116	390	216	Extension for reply within second month
117	890	217	Extension for reply within third month
118	1,390	218	Extension for reply within fourth month
128	1,890	228	Extension for reply within fifth month
119	310	219	Notice of Appeal
120	310	220	Filing a brief in support of an appeal
121	270	221	Request for oral hearing
138	1,510	138	Petition to institute a public use proceeding
140	110	240	Petition to revive – unavoidable
141	1,240	241	Petition to revive - unintentional
142	1,240	242	Utility issue fee (or reissue)
143	440	243	Design issue fee
144	600	244	Plant issue fee
122	130	122	Petitions to the Commissioner
123	50	123	Processing fee under 37 CFR 1.17(q)
126	180	126	Submission of Information Disclosure Stmt
581	40	581	Recording each patent assignment per property (times number of properties)
146	710	246	For filing a submission after final rejection (see 37 CFR 1.129(a))
149	710	249	For each additional invention to be examined (see 37 CFR 1.129(b))
179	710	279	Request for Continued Examination (RCE)
169	900	169	Request for expedited examination of a design application
195	300	195	Publication fee for early, voluntary, or normal publication
196	300	196	Publication fee for republication
194	130	194	Request for voluntary publication or republication
098	130	098	Processing fee under 37 CFR 1.17(i)
091	1,240	091	Acceptance of unintentionally delayed claim for priority

Other fee (specify) _____

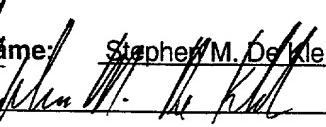
Other fee (specify) _____

SUBTOTAL (3) \$ _____

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Stephen M. DeKlerk

 Signature:  Date: 7/26/01

Reg. Number: 46,503 Telephone Number: 408-720-8300

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL627469859US
Date of Deposit: April 26, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Deborah A. McGovern

(Typed or printed name of person mailing paper or fee)

D. McGovern

(Signature of person mailing paper or fee)

April 26, 2001

(Date signed)

Serial/Patent No.:	<u>Not Yet Assigned</u>	Filing/Issue Date:	<u>Herewith</u>
Client:	<u>Intel Corporation</u>		
Title:	<u>SILOXIRANE BASED NO-FLOW UNDERFILL MATERIAL</u>		
BSTZ File No.:	<u>42390P10937</u>	Atty/Secty Initials:	<u>SMD/dam</u>
Date Mailed:	<u>April 26, 2001</u>	Docket Due Date:	<u>***</u>
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:			
<input type="checkbox"/> Amendment/Response (____ pgs.) <input checked="" type="checkbox"/> Express Mail No. <u>EL627469859US</u> <input type="checkbox"/> Check No. <u>424-33</u>			
<input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate) <input type="checkbox"/> Month(s) Extension of Time <input type="checkbox"/> Amt: <u>\$818.00</u>			
<input checked="" type="checkbox"/> Application - Utility (<u>18</u> pgs., with cover and abstract) <input type="checkbox"/> Information Disclosure Statement & PTO-149 (____ pgs.) <input type="checkbox"/> Check No. _____			
<input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.) <input type="checkbox"/> Notice of Appeal <input type="checkbox"/> Amt: _____			
<input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.) <input type="checkbox"/> Petition for Extension of Time			
<input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.) <input type="checkbox"/> Petition for _____			
<input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.) <input checked="" type="checkbox"/> Postcard			
<input type="checkbox"/> Application - Design (____ pgs.) <input type="checkbox"/> Power of Attorney (____ pgs.)			
<input type="checkbox"/> Application - PCT (____ pgs.) <input type="checkbox"/> Preliminary Amendment (____ pgs.)			
<input type="checkbox"/> Application - Provisional (____ pgs.) <input type="checkbox"/> Reply Brief (____ pgs.)			
<input type="checkbox"/> Assignment and Cover Sheet <input type="checkbox"/> Response to Notice of Missing Parts			
<input checked="" type="checkbox"/> Certificate of Mailing <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business			
<input checked="" type="checkbox"/> Declaration & POA (<u>5</u> pgs.) <u>unexecuted</u> <input checked="" type="checkbox"/> Transmittal Letter, in duplicate			
<input type="checkbox"/> Disclosure Docs & Org & Copy of Event to Signed Letter (____ pgs.) <input checked="" type="checkbox"/> Fee Transmittal, in duplicate			
<input checked="" type="checkbox"/> Drawings: <u>1</u> # of sheets includes <u>3</u> figures			
<input checked="" type="checkbox"/> Other: <u>Application Data Sheet, copy of postcard with Express Mail Certificate of Mailing pursuant to C.F.R. § 1.10.</u>			